



#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Post Office as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date: Name:

Signature: Clifford Chance US LLF

Docket No. 5677-215

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Alan P. Kozikowski et al.

Filed:

February 20, 2004

Group Art Unit:

TBA

Serial No:

10/783,801

Examiner:

TBA

For:

SYNTHESIS OF 4α-ARYLEPICATECHINS

# **CORRECTED INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In order to comply with 37 CFR 1. 97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon with the exception of the United States Patents.

Applicants respectfully request the Examiner's consideration of the cited reference and entry into the record of this application and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

A typographical error occurred in the Form PTO-1449 in which the volume no. was inadvertently entered incorrectly. Please accept this newly entered reference on behalf of the attached article.

The present Information Disclosure Statement has been submitted prior to the receipt of an Office Action in this application. Accordingly, no fee is due. However, in the event that a first Office Action was mailed on or before the date of submission of this disclosure statement, the Commissioner is hereby authorized to charge any fees to deposit Account No. 50-0521.

Respectfully submitted,

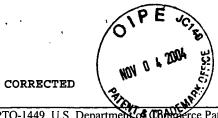
Date:

Detable 31, 2004

Margaret B. Kelley

Reg. No. 29,181

Clifford Chance US LLP 31 West 52<sup>nd</sup> Street New York, NY 10019-6131 Telephone: (212) 878-3145



FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Serial No. 10/783,801
INFORMATION DISCLOSURE STATEMENT	Inventors: Alan P. Kozikowski et al.
(Use several sheets if necessary)	Docket No. 5677-215

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
٠.				
,				
•				

## OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
	Kozikowski et al. "Studies in Polyphenol Chemistry and Bioactivity", J. Org. Chem. 2000, 65, 5371-5381

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw l in conformance and not considered. Include copy of this form with next communication to applicant.	ine through citation if not

Form PTO-1449 [6-4]